

TITLE 11—DEPARTMENT OF PUBLIC SAFETY
Division 45—Missouri Gaming Commission
Chapter 20—Sports Wagering

PROPOSED RULE

11 CSR 45-20.150 Disciplinary Actions

PURPOSE: This rule establishes grounds for disciplinary actions against licensees.

(1) Licensees shall be subject to the imposition of fines, license probation, license suspension, license revocation, or other disciplinary action for any violation of Article III, Section 39(g), of the *Missouri Constitution*, law, or regulation. The following acts or omissions may be grounds for discipline:

(A) Failing to comply with or make provision for compliance with any federal, state, or local law or regulation, or internal control standard;

(B) Failing to comply with any order or ruling of the commission pertaining to the regulation of sports wagering in Missouri;

(C) Being found ineligible for a gaming license, having an application for a gaming license denied for cause, or having a gaming license of any kind revoked or suspended in any state or other gaming jurisdiction;

(D) Employing, associating with, or participating in any enterprise or business with persons—
1. Who have law enforcement records involving crimes of moral turpitude; or
2. Who have failed to cooperate with any officially constituted investigatory or administrative body;

(E) Failing to establish and maintain standards and procedures designed to prevent ineligible or unsuitable persons from being employed by the licensee;

(F) Misrepresenting any information to the commission;

(G) Intentionally making, causing to be made, or aiding, assisting, or procuring another to make any false statement in any report, disclosure, application, permit, form, or any other document, including improperly notarized documents;

(H) Submitting tardy, inaccurate, or incomplete material or information to the commission;

(I) Obstructing or impeding the lawful activities of the commission;

(J) Willfully or repeatedly failing to pay amounts due or to be remitted to the state of Missouri;

(K) Failing to timely pay amounts due or to be remitted to the state of Missouri;

(L) Failing to timely pay a fine imposed by the commission;

(M) Failing to respond in a timely manner to communications from the commission;

(N) Aiding and abetting a violation by a commission member or employee, or other government official, of a requirement established by statute, resolution, ordinance, personnel code, or code of conduct;

(O) Violations of Article III, Section 39(g), of the *Missouri Constitution* and laws, rules, and regulations by any person identified as a key person;

(P) Knowingly employing or associating in business affairs with any enterprise or business with a person determined unsuitable to be a licensee or a key person of an applicant or licensee by the commission or any other gaming jurisdiction;

(Q) Facilitating, enabling, or participating in sports wagering other than in accordance with Article III, Section 39(g), of the *Missouri Constitution* and laws, rules, and regulations of this state or any other state or country;

(R) Engaging in, or facilitating, unfair methods of competition or unfair or deceptive acts or practices, including the use or employment of any deception, fraud, false pretense, false promise or misrepresentation, or the concealment, suppression, or omission of any material fact in the conduct of any sports wagering operation;

(S) Acting in bad faith in the conduct of any business, transaction, or interaction with any other applicant, licensee, or the commission;

(T) Being found, through final determination by a court or regulatory body, to have engaged in unfair labor practices, discrimination, or violations of regulation of gaming or sports wagering;

(U) Failing to satisfy any judgments, orders, or decrees of any court;

(V) Failing to maintain suitability for licensure; or

(W) Any cause that, if known to the commission, would have resulted in the denial of a license.

(2) An SW Occupational licensee whose employment has been terminated is subject to revocation of his or her license for any act or failure to act that occurred while licensed.

(3) A person who has had a license revoked by the commission may not reapply for a license without permission from the commission. Permission may be requested by submission of written correspondence to the commission for consideration at a regularly scheduled commission meeting.

(4) The commission may impose fines upon any person required to hold a license but does not.

(5) Any fines imposed shall not exceed fifty thousand dollars (\$50,000) per violation or one hundred thousand dollars (\$100,000) resulting from violations of the same occurrence of events.

AUTHORITY: section 39(g) of Article III, Mo. Const., section 313.004, RSMo 2016, and sections 313.800–313.850, RSMo 2016 and Supp. 2024. Original rule filed May 14, 2025.